

June 19, 1999  
November 19, 1999  
42



#3  
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/281,164 03/30/99 NAGATA 001 001 23 P 2-32 K 1232-4527

MORGAN & FINNEGAN L.L.P.  
345 PARK AVENUE  
NEW YORK NY 10154

0232/0419



NOT ASSIGNED

2873

DATE MAILED:

04/19/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☐ non-small entity is \$ 130

☐ 1. The statutory basic filing fee is:  
☐ missing.  
☐ insufficient.  
Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:  
\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.  
\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.  
\$ \_\_\_\_\_ for multiple dependent claim surcharge.  
Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:  
☐ is missing or unsigned.  
☒ does not cover the newly submitted items.  
An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.  
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).  
☐ 7. Your filing receipt was mailed in error because your check was returned without payment.  
☐ 8. The application was filed in a language other than English.  
Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.16(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

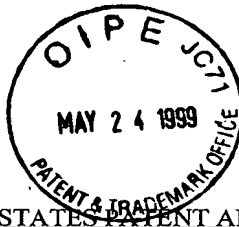
**A copy of this notice MUST be returned with the reply.**

*[Signature]*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

05/25/1999 ZABD/LA 00000021 0928114 130.00 01 FC:105

Docket: 1232-4527



# Sedor  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Katsuhiko NAGATA, Yasuichi ISHII, and Takashi KAMAI  
Serial No. : 09/281,164 Group Art Unit : 2873  
Filed : March 30, 1999  
For : OPTICAL DEVICE

CERTIFICATE OF MAILING (37 C.F.R. 1.8a)

Assistant Commissioner of Patents  
Washington, D.C. 20231  
Box: Missing Parts

Sir:

I hereby certify that the attached Response to Notice to File Missing Parts; Form PTO 1533; 7 pg. Executed Declaration/POA; Check in the \$130.00; and return receipt postcard (along with any paper(s) referred to as being attached or enclosed) and this Certificate of Mailing are being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the: U.S. Patent and Trademark Office, Box Missing Parts, Washington, DC 20231.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

By: 

Michael M. Murray

Date: May 18, 1999

Mailing Address:  
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Docket: 1232-4527



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Katsuhiko NAGATA, Yasuichi ISHII, and Takashi KAMAI  
Serial No. : 09/281,164 Group Art Unit : 2873  
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For : OPTICAL DEVICE

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**FILING DATE GRANTED**

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231  
**ATTENTION: BOX MISSING PARTS**

S I R :

In response to the Notice to File Missing Parts (FORM PTO-1533) mailed April 19, 1999, applicants enclose herewith an executed Declaration/Power of Attorney for the above-identified application which was filed on March 30, 1999 without a Declaration, pursuant to 37 C.F.R. 1.63.

Also enclosed is FORM-PTO-1533 and a check in the amount of One Hundred and Thirty Dollars (\$130.00) to cover the surcharge for filing the Declaration on a date later than the filing date of the application, pursuant to 37 C.F.R. §1.16(e). The Commissioner is hereby authorized to charge any deficiencies in fees to Deposit Account No. 13-4500. Order No. 1232-4527. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Date: May 18, 1999

By: 

Michael M. Murray  
Registration No. 32,537

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